

**PATENT** 

#### 2960/114

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group No.: 3731

In re application of:

Lang et al.

Application No.: 10/681,749

Filed: November 7, 2003

Methods for Determining Meniscal Size and Shape and for Devising Treatment

Mail Stop Amendment **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

### TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR **BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

#### IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

#### CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

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Date: August 2, 2005

Alexamder J. Smolenski, Jr., Esq. (type or print name of person certifying)

<sup>\*</sup> Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

DATE: August 2, 2005

Alexander J. Smolenski, Jr., Esq. BROMBERG & SUNSTEIN LLP

Customer Number 02101

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US

02960/114 418153

AUG 0 5 2005
Practitioner's Docket No.

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**PATENT** 

PHE	UNITED	STATES	PATENT	AND TR	<b>ADEMARK</b>	OFFICE
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Patent application		
of		
c	Invento	or(s)
for	Title of in	vention
	Title of III	·
the specification of which is being transi	mitted herewith	
•	OR	
In re application of: Tsougarakis et al.	•	
Application No.: 10/704,208	Group No.:	3731
Filed: November 7, 2003	Examiner:	Not yet assigned
	niscal Size and	Shape and for Devising Treatment
Commissioner for Patents		
P.O. Box 1450		
Alexandria, VA 22313-1450		
SUPPLEMENTAL II	NFORMATIO	N DISCLOSURE STATEMENT
		(I. C. Landia Disabassas Sections at 2012)
		(Information Disclosure Statementpage 1of 13)
CERTIFICATIO	N UNDER 37 C.F	.R. SECTIONS 1.8(a) and 1.10*
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□transmitted by facsimile to the Patent and Trac	demark Office.	
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		Signature
Date: August 2, 2005		Alexander J. Smolenski, Jr., Esq.
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\*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).

"Since the filing of correspondence under section 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable C.F.R.e, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant:

- (1) Within three months of the filing date of a national application;
- (2) Within three months of the date of entry of the national stage as set forth in section 1.491 in an international application; or
- (3) Before the mailing date of a first Office action on the merits, whichever event occurs last." 37 C.F.R. section 1.97(b).
- NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. section 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. section 1.56(c).
- NOTE: The "duty as described in section 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by sections 1.97(b) (d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13-25 at 17.

WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. section 1.51(b).

#### List of Sections Forming Part of This Supplemental Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement: discard unused sections and number pages consecutively)

- 1. [x]Preliminary Statements
- 2. [x]Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)
- 3. [ ]Statement as to Information Not Found in Patents or Publications
- 4. [ ]Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
- 5. [ ]Cumulative Patents or Publications
- 6. [x]Copies of Listed Information Items Accompanying This Statement
- 7. [ ]Concise Explanation of Non-English Language Listed Information Items
  - 7A. [x]EPO Search Report
  - 7B. [ ]English Language Version of EPO Search Report
- 8. []Translation(s) of Non-English Language Documents
- 9. [ ]Concise Explanation of English Language Listed Information Items (Optional)
- 10. [x]Identification of Person(s) Making This Information Disclosure Statement

(complete the following, if appropriate)

Sections , respectively, have been continued on ADDED PAGE(S).

NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

#### Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

SECTION 25 THORMS PTO/SB/08A and 08B (formerly Form PTO-1449)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Tsougarakis et al.

Attorney Docket:

2960/114

Serial No:

10/704,208

Art Group Unit:

3731

Date Filed:

November 7, 2003

**Examiner Name:** 

Not yet assigned

Invention:

Methods for Determining Meniscal Size and Shape and for Devising Treatment

# LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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	AA	US 3,798,679	Mar. 1974	Ewald	3/1
	AB	US 4,052,753	Oct. 11, 1977	Dedo	3/1
	AC	US 4,055,862	Nov. 1997	Farling	3/1.91
	AD	US 4,085,466	Apr. 1978	Goodfellow et al.	3/1.91
	AE	US 4,203,444	May 1986	Bonnell et al.	128/176
	AF	US 4,340,978	Jul. 1982	Buechel et al.	3/1.911
	AG	US 4,502,161	Mar. 1985	Wall	3/1.91
	AH	US 4,586,496	May 1986	Keller	128/92
	AI	US 4,601,290	Jul. 1986	Effron et al.	128/305
	AJ	US 4,609,551	Sep. 1986	Caplan et al.	424/95
	AK	US 4,627,853	Dec. 1986	Campbell et al.	623/16
	AL	US 4,769,040	Sep. 1988	Wevers	623/20
	AM	US 4,846,835	Jul. 1989	Grande	623/11
	AN	US 4,865,607	Sep. 1989	Witzel et al.	623/20
	AO	US 4,880,429	Nov. 1989	Stone	623/18
	AP	US 5,041,138	Aug. 1991	Vacanti et al.	623/16
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	AX	US 5,270,300	Dec. 1993	Hunziker	514/12
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 BJ	US 5,876,217	Jul. 1998	Tubo et al.	435/402
 BK	US 5,827,289	Oct. 1998	Reiley et al.	606/86
 BL	US 5,842,477	Dec. 1998	Naughton et al.	128/898
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D	E	US 6,679,917	Jan. 2004	EK	600/587

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Examiner	Reference	Country	Document	Publication	Patenteee or	Class/Subclass
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	EI	EP	0626156	Jan. 1992	Walker	A61F 2/38
	EJ	EP	0530804	Sep. 1992	Hunziker	A61L 25/00
	EK	EP	0613380	Nov. 1992	Braden et al.	A61L 27/00
	EL	EP	0814731	Jan. 1996	Schwartz et al.	A61F 2/30
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-	EO	EP	0896825	Aug. 1997	Atkinson et al.	A61L 27/00
-	EP	EP	1077253	Aug. 2000	Halvorsen et al.	C12N 5/00
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	ER	EP	1129675	Mar. 2001	Overaker	A61F 2/30
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	EV	JP	8173465	Dec. 1994	Ishida Noriyuki et al.	A61F 2/38
	EW	JP	9206322	Feb. 1996	Tomita Naohide	A61F 2/38
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	EY	GB	2291355	Jan. 1996	Goodfellow et al.	A61F 2/38
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	FA	wo	90/09769	Sep. 1990	Stone	A61F 2/28
,	FB	wo	93/04710	Mar. 1993	Hunziker	A61L 25/00
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•	FE	wo	95/27450	Oct. 1995	Woods et al.	A61F 2/38
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	FG	wo	95/32623	Dec. 1995	Li et al.	A01N 1/02
	FH	wo	96/24302	Aug. 1996	Schwartz	A61B 17/90
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	FN	WO	99/42061	Aug. 1999	Brommer	A61F 2/38
	FO	wo	99/47186	Sep. 1999	Suh et al.	A61L 27/00
	FP	wo	99/51719	Oct. 1999	Fofonoff et al.	C12N 3/00
	FQ	wo	00/09179	Feb. 2000	Gianetti et al.	A61L 25/00
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	FX	WO	01/45764	Jun. 2001	Muller et al.	A61L 27/36	
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				_	Columbia		
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	FZ	wo	01/70142	Sep. 2001	Carigan et al.	A61F 2/38	
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	GL	Kidder J. et al.	"3D model acquisition, design, planning and manufacturing of orthopaedic devices: a framework", Proceedings of the SPIE – Advanced Sensor and Control-Sustem Interface, Boston, MA, Vol. 2911, pgs. 9-22, 21 November 1996

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			Transactions on Medical Imaging, IEEE, Inc. New York, Vol. 16, No. 1, 1 February 1997, pgs. 96-107.
	GN	C.S. Ranawat et al.	"MacIntosh Hemiarthroplasty in Rheumatoid Knee" Acta Orthop Belg. 1973 Jan-Feb: 39(1):102- 112
	GO	B. Blum et al.	"Knee Arthroplasty in Patients with Rheumatoid Arthritis", ANN. Rheum. Dis. 1974 Jan: 33(1):1-11
	GP	Nelson M.D. et al.	"Arthroplasty and Arthrodesis of the Knee Joint", Orthop. Clin. North Am. 1971 Mar: 2(1):245-64.
	GQ	McCollum et al.	"Tibial Plateau Prosthesis in Arthroplasty of the Knee", J. Bone Joint Surg. Am. 1970 Jun:52(4):827-8.
	GR	Hastings D.E. et al.	"Double Hemiarthroplasty of the Knee in Rheumatoid Arthritis. A Survey of Fifty Consecutive Cases", J. Bone Joint Surg. Br. 1973 Feb:55(1):112-118.
	GS	Schron, D. et al.	"MacIntosh Arthroplasty in Rheumatoid Arthritis", Rheumatol Rehabil. 1978 Aug:17(3):155-163.
	GT	McKeever, D.C. et al.	"The Classic Tibial Plateau Prosthesis", Clin. Orthop. Relat. Res. 1985 Jan-Feb:(192):3-12.
	GU	Conaty et al.	"Surgery of the Hip and Knee in Patients with Rheumatoid Arthritis", J. Bone Joint Surg. Am. 1973 Mar:55(2):301-314.

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Examiner Initials	Reference Number	Author	Title of Article, Title of Journal, Volume Number, Page Numbers, Date
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			for Advanced Osteoarthritis and Rheumatoid
			Arthritis of the Knee", J. of Bone & Joint
			Surg. 1972, Vol. 54B, No. 2, pgs. 244-255
	GW	MacIntosh	"Arthroplasty of the Knee in Rheumatoid
			Arthritis Using the Hemiarthroplasty
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	HR	Brown, Ph.D. et al.	MRI Basic Principles and Application, 2 <sup>nd</sup> Edition Table of Contents, Copyright 1999
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·	HU		International Search Report dated 23 February 2005
	HV		International Search Report dated 13 May 2005

Examiner Signature:		
Date Considered:		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation <i>if not</i> in conformance and not considered. Include copy of this form with next communication to applicant.		

#### Section 6. Copies of Listed Information Items Accompanying This Statement

NOTE: 37 C.F.R. section 1.98(a)(2) requires that any information disclosure statement filed under section 1.97 shall include:

"A legible copy of: (1) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included..."

NOTE: The wording in section 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

(complete the following, if applicable)

[x ]Exception(s) to above:	
[ ]Items in prior application, from which an earlier filing date is claimed for this application, a identified in Section 4.	3.5
[ ]Cumulative patents or publications identified in Section 5.	

U.S. patent citations are not included pursuant to the United State Patent and Trademarks Office's September 21, 2004 waiver of the copy requirement in 37 CFR 1.98 for cited pending U.S. patent citations when the patent citations are available in the USPTO's IFW system.

# Section 7A. Concise Explanation of Non-English Language Listed Information Items—EPO Search Report

The relevance with respect to the following citations listed on Forms PTO/SB/08A and 08B (substitute for PTO-1449):

is submitted on the basis of the accompanying:

(check the appropriate item)

[X]EPO search reports that are in the English language,

[ ]EPO search report that is not in the English language and that is accompanied also by an English language version of the EPO search report,

that issued on the corresponding European patent application.

## Section 10. Identification of Person(s) Making This Information Disclosure Statement

The person making this certification is	
(check ea	ch applicable item)
(a) [ ]the inventor(s) who signs below	
	SIGNATURE OF INVENTOR
	(type name of inventor who is signing)
(b) [ ]an individual associated with the file 1.56(c))	ling and prosecution of this application (37 C.F.R. section
	SIGNATURE OF INVENTOR
	(type name of inventor who is signing)
(c) [x] the practitioner who signs below or	n the basis of the information:
(check ea	ach applicable item)
[ ] supplied by the inventor(s	s).
• •	dual associated with the filing and prosecution of this C.F.R. section 1.56(c)).
[x] in the practitioner's file.	, ·
	An
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